



# 2020 By The Numbers

## ABOUT US

The Compliance & Ethics Forum for Life Insurers ("CEFLI") provides a Forum to serve the needs of the life insurance industry's compliance and ethics professionals. CEFLI is "The Forum That Connects."

It is the only non-advocacy organization devoted exclusively to compliance and ethics in the life insurance industry.

## COMPLIANCE & ETHICS COMMITTEE MEETINGS

12

Monthly Meetings

287

Committee Members

## EDUCATIONAL WEBINARS

12

Webinars Conducted

3,346

Webinar Registrants

4.4

Member Rating (Scale of 1-5)

## CONFERENCES & SUMMITS

### 04/20 ● Summit Meeting (virtual)

The Summit focused on the Revised NAIC Suitability in Annuity Transactions Model Regulation. 5 sessions; prerecorded.

### 09/20 ● Annual Conference (virtual)

9 sessions. Over 30 speakers including regulators and industry experts. Member Rating for Value: 4.4 out of 5.

### 11/20 ● CFTC (virtual)

Compliance Fundamentals Training Conference. 10 sessions. Member Rating for Value: 4.5 out of 5.

## Clearinghouse

A technological platform that allows insurers to obtain certificates of compliance (NAIC Annuity Suitability & NY Regulation 187) from their third-party distribution partners (TPD's).

1,324

Certificates Downloaded

400

Third Party Distributors

## NETWORKING & REGULATORY FORUMS

COVID-19

76

Members

Ad Review

60

Members

CLDF\*

27

Members

Regulatory<sup>^</sup>

51

Members

\* Member Company CCO's may recommend a candidate to participate in the Compliance Leadership Development Forum (CLDF).

<sup>^</sup> CEFLI's Regulatory Forum is available to CEFLI Premier Partners and CEFLI Gold Affiliate Members.