



**Compliance
Leadership
Development
Forum**

Class *of* 2021



Compliance & Ethics Forum for Life Insurers

Session 4:

Applying Your Leadership Skills

September 9, 2021



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Agenda

- Panel Presentation (50 minutes)
- Forum Member Networking (30 minutes)



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Faculty

Megan Claypool

*Senior Vice President & Chief
Compliance Officer*
Athene USA



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Faculty

Jim Golembiewski

*General Counsel, Vice President
Compliance & Corporate Secretary
Sagicor Life*



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Faculty

Donald Walters (Moderator)

President and CEO

CEFLI



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Overview of Your Role

“LEADERSHIP IS ABOUT VISION AND RESPONSIBILITY, NOT POWER.”

– Seth Berkley



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Presence of Leadership

“LEADERSHIP IS THE CAPACITY TO TRANSLATE VISION INTO REALITY.”

– Warren Bennis



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Leadership in Integrity and Compliance and Ethics

“ETHICS IS KNOWING THE DIFFERENCE BETWEEN WHAT YOU HAVE A RIGHT TO DO AND WHAT IS RIGHT TO DO.”

– Potter Stewart



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Fostering Strong Relationships with Business Partners

“NO MATTER HOW MUCH WE TWEET, BLOG AND POST, NOTHING IN BUSINESSES AS POWERFUL AS ACTUAL FACETIME WITH PROSPECTIVE BUSINESS PARTNERS AND CUSTOMERS.”

– Jay Samit



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Emotional Quotient/Intelligence (EQ)

**“LEADERSHIP IS ALL ABOUT EMOTIONAL INTELLIGENCE.
MANAGEMENT IS TAUGHT, WHILE LEADERSHIP IS EXPERIENCED.”**

– Rajeev Suri



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An External/Internal Focus

“IF ONE DOES NOT KNOW TO WHICH PORT ONE IS SAILING, NO WIND IS FAVORABLE.”

– Lucius Annaeus Seneca



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Communications

“THERE’S NOTHING BETTER THAN PEOPLE TALKING TO EACH OTHER, SHARING BEST PRACTICES AND OPENING UP COMMUNICATIONS.”

– Dan Gilbert



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Your Work as a CCO

“THERE ARE NO SECRETS TO SUCCESS. IT IS THE RESULT OF PREPARATION, HARD WORK AND LEARNING FROM FAILURE.”

– Colin Powell



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Q & A



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Final Thoughts From the Panel



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Thank You!



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Networking Activity



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Discussion and Networking

1. Key Learnings from the Presentation
2. The Importance of Continued Networking
3. Survey – We welcome your feedback on your experience attending the Compliance Leadership Development Forum!



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Closing Remarks



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