



2021 By The Numbers

ABOUT US

The Compliance & Ethics Forum for Life Insurers (CEFLI) provides a Forum to serve the needs of the life insurance industry's compliance and ethics professionals.

CEFLI is "The Forum That Connects."

It is the only non-advocacy organization devoted exclusively to compliance and ethics in the life insurance industry.

COMPLIANCE & ETHICS COMMITTEE MEETINGS

12

Monthly
Meetings

322

Committee
Members

EDUCATIONAL WEBINARS

10

Webinars
Conducted

2,185

Webinar
Registrants

4.5

Member Rating
(Scale of 1-5)

CONFERENCES & SUMMITS

04/06 ● Summit Meeting (virtual)

15 State Insurance Department regulators comprised the event's all-regulator faculty featuring 5 sessions. Member Rating for Value of Event: 4.7 out of 5.0.

05/06 ● CFTC (virtual)

05/07
CEFLI's Compliance Fundamentals Training Conference (CFTC) featured 10 sessions. Member Rating for Value of Event: 4.7 out of 5.

09/22 ● Annual Conference (virtual)

09/23
The event's 10 sessions featured over 30 speakers, including regulators and industry experts. Member Rating for Value of Event: 4.7 out of 5.

CLEARINGHOUSE

A technological platform that allows insurers to obtain certificates of compliance (NAIC Annuity Suitability & NY Regulation 187) from their third-party distribution partners (TPD's).

1,107

Certificates
Downloaded

400

Third Party
Distributors

MORE!

In addition to conducting meetings and events, CEFLI also conducts benchmarking studies and offers links to CEFLI and other industry resources on CEFLI.org.

NETWORKING & REGULATORY FORUMS

Ad Review

103

Members

CLDF*

24

Members

Regulatory^

61

Members

* Member Company CCOs may recommend a candidate to participate in CEFLI's Compliance Leadership Development Forum (CLDF).

^ CEFLI's Regulatory Forum is available to CEFLI Premier Partners and CEFLI Gold Affiliate Members.