



2022  
CEFLI  
ANNUAL  
CONFERENCE

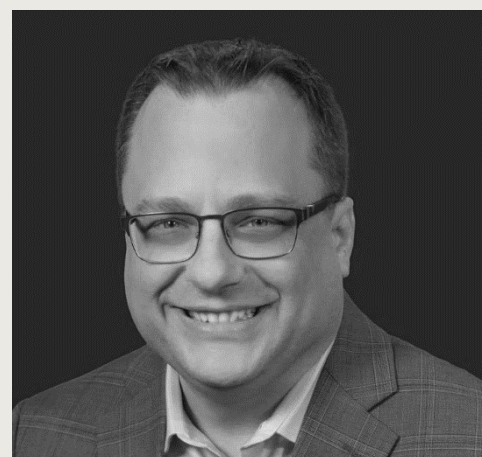
## PERSPECTIVES:

“New” Chief Compliance Officers - The Demands of a Key Leadership Role in Compliance.

Monday, November 14<sup>th</sup>, 2022  
1:00 PM- 1:45 PM.



# FACULTY.



**Chad Eslinger**  
Chief Compliance and Ethics Officer &  
Head of Operational Risk Management  
Resolution Life



**Carla Strauch (Moderator)**  
Senior Vice President, Compliance & Ethics  
CEFLI



**Patricia Thompson**  
Vice President, Chief Compliance &  
Ethics Officer  
Pacific Life



**Tracy Whitaker**  
Vice President - Legal/Compliance &  
Associate General Counsel  
Homesteaders Life

## AGENDA:

1. CCO Backgrounds.
2. A Different Mindset.
3. Relationship Management:
  1. Staff.
  2. Senior Leaders and Executives.
  3. Regulators.
4. Effective Networking.
5. Rewarding Aspects of Being a CCO.



# CCO BACKGROUNDS: ROLE, TENURE & RESPONSIBILITIES.

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**Patricia**  
Pacific Life



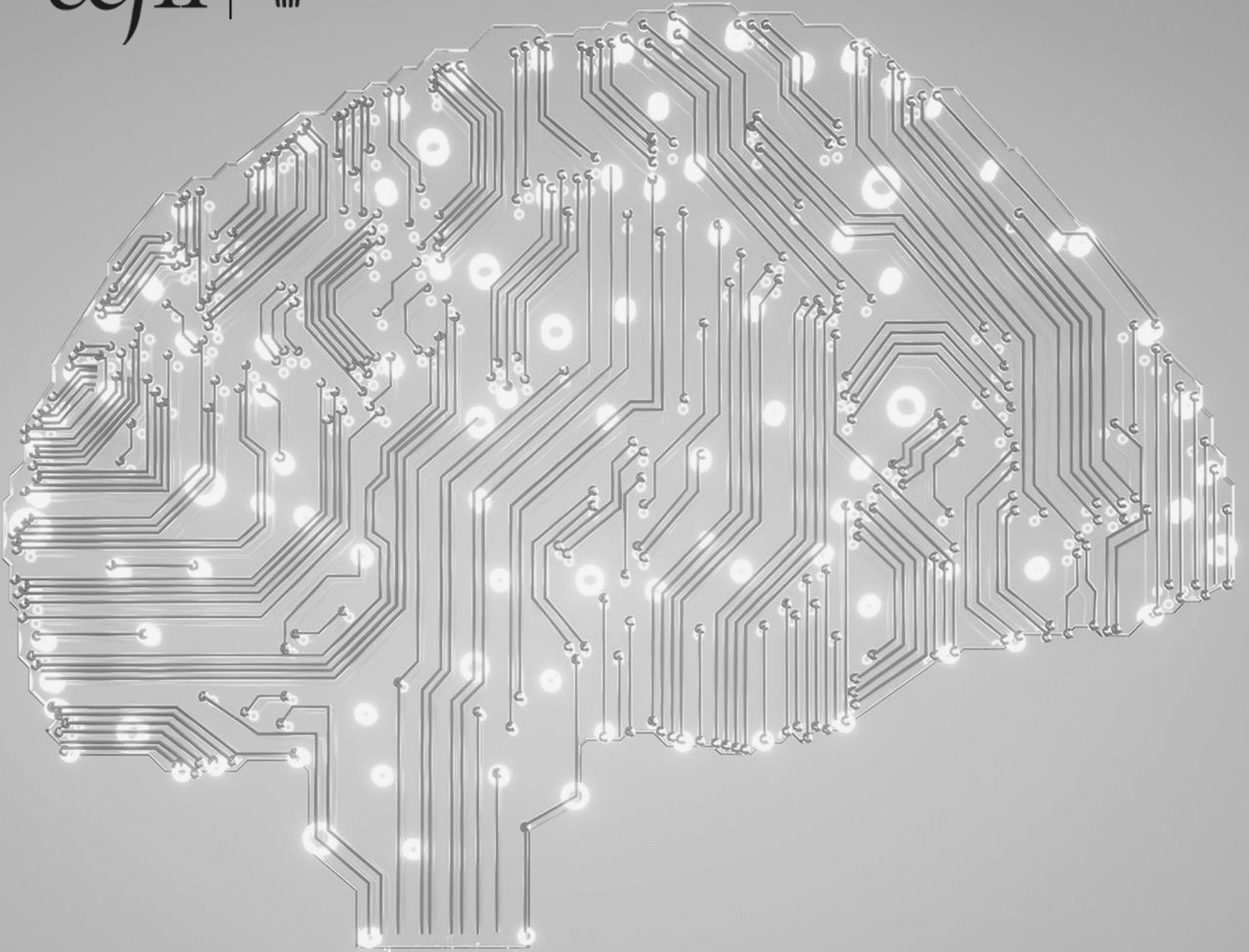
**Chad**  
Resolution Life



**Tracy**  
Homesteaders Life







# A DIFFERENT MINDSET: BEING A CCO.







# RELATIONSHIP MANAGEMENT: STAFF.



# RELATIONSHIP MANAGEMENT: EXECUTIVES.



# RELATIONSHIP MANAGEMENT: REGULATORS.





EFFECTIVE  
NETWORKING.

# THE REWARDING ASPECTS OF BEING A CCO.







QUESTIONS?

