

*“The Forum that Connects”*



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# The Compliance & Ethics Forum for Life Insurers

**Nonadvocacy. Not-for-profit.**

Devoted exclusively to Compliance & Ethics professionals in the life insurance industry.



# ABOUT US

We Are a  
Nonadvocacy  
Organization

We Offer  
Networking  
Opportunities

We Are a  
Not-For-Profit  
Organization

We Provide  
Educational  
Opportunities

We Focus On  
Life & Annuity  
Compliance

We Support  
Career  
Development

We Offer  
Leadership  
Opportunities



# LIFE COMPANY MEMBERS

## Premier Partner Member Companies



## Member Companies



Data as of 02/27/24.

91 CEFLI Members!

# WHAT WE OFFER

CEFLI offers educational and networking opportunities for ALL career levels:




**COMPLIANCE  
FUNDAMENTALS  
TRAINING  
CONFERENCE**

Compliance & Ethics  
Forum for Life Insurers



**ADVANCED  
COMPLIANCE  
FORUM**

Compliance & Ethics  
Forum for Life Insurers




**COMPLIANCE  
LEADERSHIP  
DEVELOPMENT  
FORUM**

Compliance & Ethics  
Forum for Life Insurers



**CEFLI  
CCO  
FORUM**

Compliance & Ethics  
Forum for Life Insurers



**ANNUAL  
CONFERENCE**

Compliance & Ethics  
Forum for Life Insurers

- Entry - Mid Level Staff
- Biennial Event
- Seasoned Professionals
- Biennial Event
- New/Future Leaders
- Focus on Soft Skills
- Quarterly Meetings
- Exclusively for CCOs
- Annual Event
- CEFLI Members Only
- All Staff
- Current/ Emerging Issues
- CEFLI's Premier Event

From entry level analysts to Chief Compliance Officers, CEFLI provides education & networking.



# WHAT WE OFFER

CEFLI facilitates monthly and quarterly opportunities to learn, network, and grow:




**EDUCATIONAL WEBINARS**

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Compliance & Ethics Forum for Life Insurers



**COMPLIANCE & ETHICS COMMITTEE**

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Compliance & Ethics Forum for Life Insurers



**ADVERTISING REVIEW NETWORKING FORUM**

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Compliance & Ethics Forum for Life Insurers



**FRAUD & AML NETWORKING FORUM**

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Compliance & Ethics Forum for Life Insurers



- 8-9 Events Per Year
- Complimentary
- Recorded
- Monthly Meetings
- Industry Sharing
- Member-Submitted Topics
- Quarterly Meetings
- Industry Sharing
- Targeted Focus
- Quarterly Meetings
- Industry Sharing
- Targeted Focus

In addition to in-person conference events, CEFLI facilitates meetings and webinars.



# WHAT WE OFFER

CEFLI provides additional resources and services:

**BENCHMARKING SURVEYS**

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Compliance & Ethics Forum for Life Insurers




**WEBSITE RESOURCES (CEFLI.ORG)**

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Compliance & Ethics Forum for Life Insurers



**CEFLI CLEARINGHOUSE**

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Compliance & Ethics Forum for Life Insurers

- Periodic Benchmarking Surveys

- Recorded Webinars
- Links and Helpful Resources

- Technology Platform
- Facilitates Annuity Certificates from TPDs

CEFLI offers online resources and an annuity “Clearinghouse” technology platform.





# OUR PARTNERS

## Speakers at CEFLI Events Include:

- Regulators – State & Federal
- Member Company CCOs
- Member Company SMEs
- CEFLI Affiliate Members
- Other Experts in Their Fields

### State & Federal Regulators



### Gold CEFLI Affiliate Members



### Bronze CEFLI Affiliate Members



Our event & webinar faculty offer expertise and provide diverse thought.



# THE CEFLI TEAM



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We welcome you to contact us with any questions.





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Visit us at <https://cefli.org/regulators>