

“The Forum that Connects”



The Compliance & Ethics Forum for Life Insurers

Nonadvocacy. Not-for-profit.

Devoted exclusively to Compliance & Ethics professionals in the life insurance industry.

ABOUT US

We Are a
Not-For-Profit
Organization

We Are a
Nonadvocacy
Organization

We Provide
Educational
Opportunities

We Offer
Networking
Opportunities

We Support
Career
Development

We Offer
Leadership
Opportunities

We Focus On
Life & Annuity
Compliance

LIFE COMPANY MEMBERS

PREMIER PARTNER MEMBERS

MEMBER COMPANIES

Data as of 09/18/24

94 CEFLI Members!

WHAT WE OFFER

CEFLI offers educational and networking opportunities for ALL career levels:





**COMPLIANCE
FUNDAMENTALS
TRAINING
CONFERENCE**

Compliance & Ethics
Forum for Life Insurers




**ADVANCED
COMPLIANCE
FORUM**

Compliance & Ethics
Forum for Life Insurers






**COMPLIANCE
LEADERSHIP
DEVELOPMENT
FORUM**

Compliance & Ethics
Forum for Life Insurers




**CEFLI
CCO
FORUM**

Compliance & Ethics
Forum for Life Insurers

**ANNUAL
CONFERENCE**

Compliance & Ethics
Forum for Life Insurers

- Entry - Mid Level Staff
- Biennial Event
- Seasoned Professionals
- Biennial Event
- New/Future Leaders
- Focus on Soft Skills
- Quarterly Meetings
- Exclusively for CCOs
- Annual Event
- CEFLI Members Only
- All Staff
- Current/ Emerging Issues
- CEFLI's Premier Event

From entry level analysts to Chief Compliance Officers, CEFLI provides education & networking.

WHAT WE OFFER

CEFLI facilitates monthly and quarterly opportunities to learn, network, and grow:




EDUCATIONAL WEBINARS

Compliance & Ethics Forum for Life Insurers

- 8-9 Events Per Year
- Complimentary
- Recorded



COMPLIANCE & ETHICS COMMITTEE

Compliance & Ethics Forum for Life Insurers

- Monthly Meetings
- Industry Sharing
- Member-Submitted Topics



ADVERTISING REVIEW NETWORKING FORUM

Compliance & Ethics Forum for Life Insurers

- Quarterly Meetings
- Industry Sharing
- Targeted Focus



FRAUD & AML NETWORKING FORUM



Compliance & Ethics Forum for Life Insurers

- Quarterly Meetings
- Industry Sharing
- Targeted Focus

In addition to in-person conference events, CEFLI facilitates meetings and webinars.

WHAT WE OFFER

CEFLI provides additional resources and services:

BENCHMARKING SURVEYS

Compliance & Ethics Forum for Life Insurers




WEBSITE RESOURCES (CEFLI.ORG)

Compliance & Ethics Forum for Life Insurers



CEFLI CLEARINGHOUSE

Compliance & Ethics Forum for Life Insurers

- Periodic Benchmarking Surveys

- Recorded Webinars
- Links and Helpful Resources

- Technology Platform
- Facilitates Annuity Certificates from TPDs

CEFLI offers online resources and an annuity “Clearinghouse” technology platform.



OUR PARTNERS

Speakers at CEFLI Events Include:

- Regulators – State & Federal
- Member Company CCOs
- Member Company SMEs
- CEFLI Affiliate Members
- Other Experts in Their Fields

State & Federal Regulators



Gold CEFLI Affiliate Members



Bronze CEFLI Affiliate Members



Our event & webinar faculty offer expertise and provide diverse thought.

THE CEFLI TEAM



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We welcome you to contact us with any questions.



The Compliance & Ethics Forum for Life Insurers

Visit us at <https://cefli.org/regulators>