



Leveraging Vendor Technology Solutions and Software to Assist with Compliance Activities

Use of Vendor Technology Solutions



Compliance staff often rely on a range of technology vendors and software to support workflow management, documentation and tracking. In addition to commonly used tools (e.g., SharePoint, Adobe, Workfront, ServiceNow, IBM OpenPages, Wrike, Zinflow, Aprimo, Salesforce, and other Microsoft tools), the most widely used solutions – based on responses to CEFLI’s 2026 Compliance & Ethics Benchmarking Survey – are listed below in order of response frequency:

- **Advertising Review:** RegEd (AdTrax), Red Oak, Home-grown solution, Proofpoint, AdMaster and Widen. Comments included: SAIF and Hearsay Social.
- **Social Media:** Hearsay Social, Hootsuite, Home-grown solution, Proofpoint, Smarsh, Sprinklr Social, Sprout Social and Mentionlytics. Comments included: Consumer Fusion, Global Relay, CisionOne and Eagle Eye.
- **Complaint Handling:** Home-grown solution, Archer GRC tool, RegEd Code, Aquilan/Patriot Manager, Navex, Thompson Reuters, Fiserv FCRM, Red Oak, CompliFast and SAI360. Comments included: VComply, LogicManager and Case IQ.

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- **Regulatory Change:** ACLI, Wolters Kluwer NILS, RegEd Code, Home-grown solution, Wolters Kluwer OneSumX, Archer GRC tool, RegEd Regulatory Change Management, LexisNexis State Net, Ascensus, Thompson Reuters, Cube, Ethidex, ODETrack, SAI360 and StateScape. Comments included: AuditBoard, LogicManager, riskcloud.net, CUBE and Evolv (was Archer Compliance.ai).
- **Compliance Oversight Tracking:** Home-grown solution, Archer GRC tool, RegEd Code, Wolters Kluwer OneSumX, RegEd Exam Management, Keylight (Navex) and SAI360. Comments included: VComply, Hyperproof, AuditBoard and On Spring.
- **AML/SIU Case Management:** Home-grown solution, Archer GRC tool, Fiserv FCRM, Patriot Manager, Nice/Actimize, InstantID, iSight, Salesforce, ServiceNow, Smartsheet. Comments included: Comply Advantage, XSOAR, CSI WatchDOG for OFAC, Hummingbird and Appian.